



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: O'Brien et al.

FILED: August 29, 2003

SERIAL NO.: 10/652,846

FOR: Extracellular Serine Protease

§  
§  
§  
§  
§  
§  
§  
§

ART UNIT:

1644

EXAMINER:

Huynh, P.N.

DOCKET:

D6020CIP4

**Mail Stop Appeal Brief - Patents**

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313

**ATTENTION: Board of Patent Appeals and Interferences**

**APPEAL BRIEF**

Dear Sir:

This Appeal Brief is in furtherance of the Notice of Appeal transmitted via facsimile in this case on July 22, 2008. The fees required under 37 C.F.R. §41.20(b)(2) and any other required fees are dealt with in the accompanying TRANSMITTAL OF APPEAL BRIEF. However, if this is in error, please debit any additional fees due from Deposit Account No. 07-1185 on which Applicant's counsel is allowed to draw.

Respectfully submitted,

Date: Nov. 21, 2008

ADLER & ASSOCIATES

8011 Candle Lane

Houston, Texas 77071

Tel.: (713) 270-5391

Fax: (713) 270-5361

Ben@adlerandassociates.com

Benjamin Aaron Adler, Ph.D., J.D.

Registration No. 35,423

Counsel for Applicant

11/24/2008 EFLORES 00000011 10652846  
01 FC:2402

270.00 0P

## INDEX OF SUBJECT MATTER

	<u>Page</u>
I. Real party in interest	3
II. Related Appeals and Interferences	4
III. Status of Claims	5
IV. Status of Amendments	6
V. Summary of Claimed Subject Matter	7
VI. Grounds of Rejection To Be Reviewed On Appeal	8
VII. Argument	9-10
VIII. Claims Appendix	11
IX. Evidence Appendix	12
X. Related Proceedings Appendix	13

**I. REAL PARTY IN INTEREST**

The real party in interest is The University of Arkansas for Medical Sciences.

## II. RELATED APPEALS AND INTERFERENCES

Appellant is aware of no related appeals and interferences of the present invention.

### **III. STATUS OF CLAIMS**

Originally, claims 1-66 were filed and being prosecuted in this Application. The withdrawn claims 1-51 were canceled. Of the pending claims 52-55, claim 52 is independent and the subject of this appeal.

#### **IV. STATUS OF AMENDMENTS**

Claims 52 and 55 were amended in response to a Restriction Requirement, submitted October 6, 2006. In response to an Office Action, submitted November 5, 2006, claims 52 and 54 were amended. A Notice of Appeal was filed July 22, 2008 appealing the rejection of the pending claims 52-55, as shown in Appendix A.

## **V. SUMMARY OF CLAIMED SUBJECT MATTER**

The subject matter of independent claim 52 is drawn to an isolated DNA encoding a TADG-14 protein variant. The DNA is identified as the sequence of SEQ ID NO: 6 plus the inclusion of an intron sequence between exon 2 and exon 3 of SEQ ID NO: 6 (pg 3, lines 16-18; pg 54, lines 12-14). This DNA may be incorporated into a vector which comprises regulatory elements and is adapted for expression in a cell (pg 21, lines 7-14). This vector may then be transfected into a host bacterial, mammalian, plant or insect cell (pg 21, lines 15-21).

## VI. GROUND OF REJECTION TO BE REVIEWED ON APPEAL

Whether claim 52 is anticipated by **Mitsui et al.**, Eur J Biochem  
260: 627-634, 1999) under 35 U.S.C. §102(b).



## VII. ARGUMENT

### Rejection of claim 52 under 35 U.S.C. §102(b) over Mitsui *et al.*

It is well established that in order to anticipate a claim under 35 U.S.C. §102(b), each and every element of the claim should be described in a single prior art reference, either expressly or inherently. Importantly, the identical invention must be shown in as complete detail as is contained in the instant invention. Applicant's claim 52 is directed towards an isolated DNA sequence that differs from the nucleic acid sequence of SEQ ID NO: 6 due to the inclusion of an intron sequence between exon 2 and exon 3 of SEQ ID NO: 6 which encodes the TADG-14 protein variant with the sequence shown in SEQ ID NO: 75.

Applicants respectfully submit that **Mitsui *et al.*** do not teach the DNA sequence that differs from SEQ ID NO:6 due to the inclusion of an intron sequence between exon 2 and exon 3 as recited in Applicants' claim 52. **Mitsui *et al.*** do not teach the same vector comprising the regulatory elements necessary for expressing the reference DNA in host cell. Applicants recite an isolated DNA that differs from the nucleic acid sequence of SEQ ID NO: 6 due to the inclusion of an intron sequence between exon 2 and exon 3 of SEQ ID NO: 6. Such a DNA encodes a TADG-14 protein variant that has an amino acid sequence of SEQ ID NO: 75. Thus, the instant claim is drawn to a DNA sequence that encodes a protein of SEQ ID NO: 75 and not drawn to the protein of SEQ ID NO: 75. Moreover, the instant specification discloses that there are

differences between TADG-14 and neuropsin differ at the nucleotide level (pg. 48, lines 6-14). The TADG-14 mRNA has an additional 491 bases of 5' UTR that were not found in human neuropsin. Also, the nucleotides preceding the poly (A) tail in the 3' UTR are not homologous.

In distinct contrast, **Mitsui et al.** disclose a difference nucleotide sequence which encodes amino acid sequences of neuropsin.

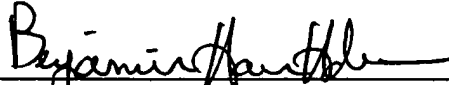
Second, although **Mitsui et al.** teach insertion of exon 2 and exon 3 in the nucleotide sequence of neuropsin (Figure 4A), this nucleotide sequence of neuropsin is not the same as SEQ ID NO: 6. Accordingly, **Mitsui et al.** do not teach the same vector as the instant invention since the TADG-14 and neuropsin differ at the nucleotide level.

For these reasons, Applicants submit that claim 52 is not anticipated under 35 U.S.C. §102(b) by **Mitsui et al.** Accordingly, Applicants respectfully request the Board of Patent Appeals and Interferences to reverse the rejection of claim 52-55 under 35 U.S.C. §102(b).

Respectfully submitted,

Date: Nov. 21, 2008

ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, Texas 77071  
Tel.: (713) 270-5391  
Fax: (713) 270-5361  
Ben@adlerandassociates.com

  
Benjamin Aaron Adler, Ph.D., J.D.  
Registration No. 35,423  
Counsel for Applicant

## **VIII. CLAIMS APPENDIX**

Claim 52. An isolated DNA that differs from nucleic acid sequence of SEQ ID NO: 6 due to inclusion of an intron sequence between exon 2 and exon 3 of SEQ ID NO: 6, said DNA encoding a TADG-14 protein variant with an amino acid sequence shown in SEQ ID NO: 75.

Claim 53. A vector capable of expressing the DNA of claim 52, wherein said vector is adapted for expression in a cell and comprises regulatory elements necessary for expressing said DNA in said cell.

Claim 54. A host cell transfected with the vector of claim 53, wherein said vector expresses a TADG-14 protein variant with the amino acid sequence shown in SEQ ID NO. 75.

Claim 55. The host cell of claim 54, wherein said cell is a bacterial cell, a mammalian cell, a plant cell or an insect cell.

IX. EVIDENCE APPENDIX

None

## **X. RELATED PROCEEDINGS APPENDIX**

None